

Real-Time Sensor-Based Feedback Control for Obstacle Avoidance in Unknown Environments

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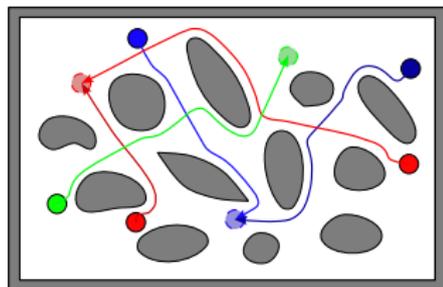
Introduction

Global approaches

- Navigation functions (Koditschek et al., 1990).
- Navigation transform (Loizou, 2017).
- Hybrid feedback (Sanfelice et al., 2006; Berkane et al., 2019; Casau et al., 2019; Berkane et al., 2021).

Local approaches

- Artificial potential fields (Khatib, 1986; Koditschek et al., 1990).
- Extended navigation functions (Lionis et al., 2007; Filippidis et al., 2011).
- Separating hyperplanes (Arslan et al., 2019).
- Navigation through safety velocity cones (Berkane, 2021).



- Navigate unknown environments filled with obstacle.
- **Objective:** design a sensor-based feedback control to ensure safe navigation.

Notations and Definitions

Reach of sets

The skeleton $\mathbf{Sk}(\mathcal{A})$:

$$\mathbf{Sk}(\mathcal{A}) := \{x \in \mathbb{R}^n : \text{card}(\mathbf{P}_{\overline{\mathcal{A}}}(x)) > 1\}.$$

The reach of \mathcal{A} at $x \in \overline{\mathcal{A}}$ is defined as

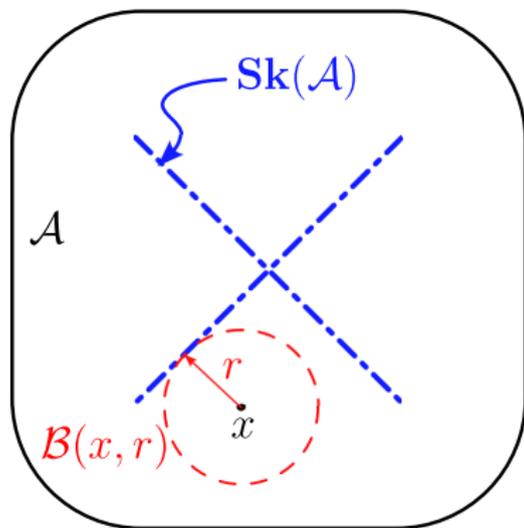
$$\text{reach}(\mathcal{A}, x) :=$$

$$\begin{cases} 0, & x \in \partial\overline{\mathcal{A}} \cap \overline{\mathbf{Sk}(\mathcal{A})}, \\ \sup\{r > 0 : \mathbf{Sk}(\mathcal{A}) \cap \mathcal{B}(x, r) = \emptyset\}, & \text{otherwise.} \end{cases}$$

The reach of the set \mathcal{A} is given by

$$\text{reach}(\mathcal{A}) := \inf_{x \in \mathcal{A}} \{\text{reach}(\mathcal{A}, x)\}.$$

The set \mathcal{A} has **positive reach** if $\text{reach}(\mathcal{A}) > 0$.



Notations and Definitions

Sets of class $\mathcal{C}^{k,l}$

- $k \in \mathbb{N}$: the **differentiability order**.
 - $l \in [0, 1]$: is the **Hölder continuity**.
-
- If $l = 0$: the k th derivative is bounded.
 - If $l = 1$: the k th derivative is Lipschitz continuous.

Notations and Definitions

Tangent cone

We denote by $\mathbf{T}_{\mathcal{A}}(x)$ the **tangent cone** to \mathcal{A} at a point $x \in \mathbb{R}^n$, and is given by

$$\mathbf{T}_{\mathcal{A}}(x) = \left\{ z \in \mathbb{R}^n : \lim_{\tau \rightarrow 0^+} \frac{\mathbf{d}_{\mathcal{A}}(x + \tau z)}{\tau} = 0 \right\}.$$

Nagumo's theorem

Consider the system $\dot{x} = f(x)$. For each initial condition $x(0) \in \mathcal{X}$, where \mathcal{X} is a closed set, we assume that the system admits a unique solution. Then the set \mathcal{X} is forward invariant if and only if

$$f(x) \in \mathbf{T}_{\mathcal{X}}(x), \forall x \in \mathcal{X}.$$

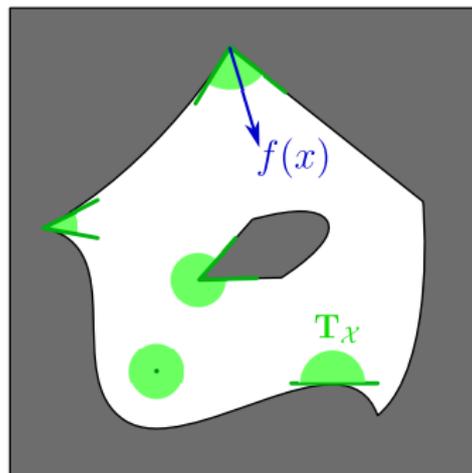


Figure: Tangent cones and Nagumo's Theorem Application.

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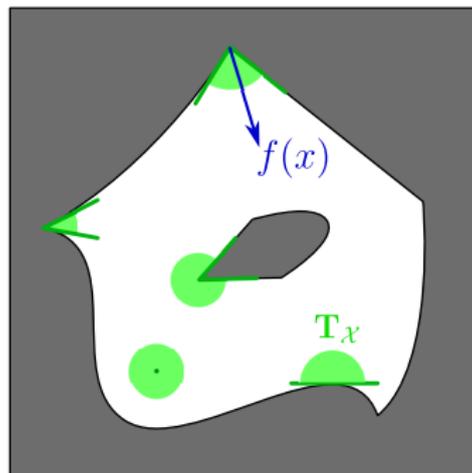
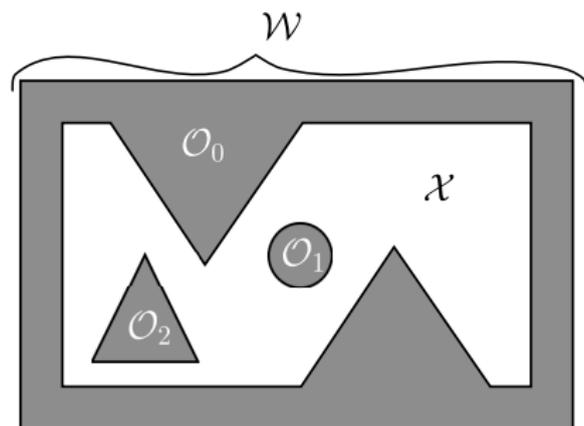


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Problem Formulation

Workspace - Free Space



- Workspace \mathcal{W} : closed subset of the Euclidean space \mathbb{R}^n .
- Obstacles \mathcal{O}_i , $i = 1, \dots, M$: open subsets in \mathbb{R}^n strictly contained in \mathcal{W} .
- Free space \mathcal{X} : a subset in \mathbb{R}^n ,

$$\mathcal{X} := \mathcal{W} \setminus \bigcup_{i=1}^M \mathcal{O}_i. \quad (1)$$

Problem Formulation

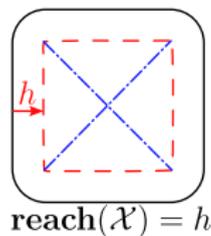
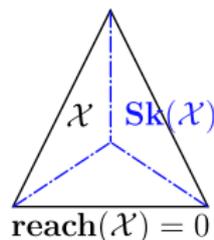
Workspace - Free Space

Assumption 1

The set \mathcal{X} has a **positive reach**, *i.e.*,

$$\text{reach}(\mathcal{X}) > 0. \quad (2)$$

- In other words, there exists a positive real $h > 0$ such that any point $x \in \mathcal{X}$, with $\mathbf{d}_{\mathcal{X}}(x) < h$, has a **unique projection** $\mathbf{P}_{\partial\mathcal{X}}(x)$.



- If Assumption 1 holds, then

$$\mathbf{d}_{\mathcal{O}_i, \mathcal{O}_j} > 2h, \quad \forall i, j \in \mathbb{M} \text{ with } i \neq j, \quad (3)$$

Problem Formulation

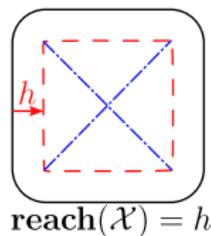
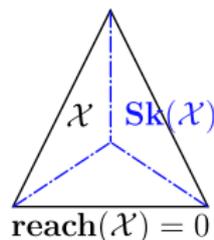
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Workspace - Practical Free Space

- Consider a ball-shaped robot with a radius R and centred at $x \in \mathbb{R}^n$.
- Let ϵ be a positive real and satisfies the following inequality:

$$0 < R < \epsilon < h. \quad (4)$$

- We define the **practical free space** as follows:

$$\mathcal{X}_\epsilon := \{x \in \mathbb{R}^n : \mathbf{b}_{\mathcal{C}\mathcal{X}}(x) \geq \epsilon\} \subset \mathcal{X}. \quad (5)$$

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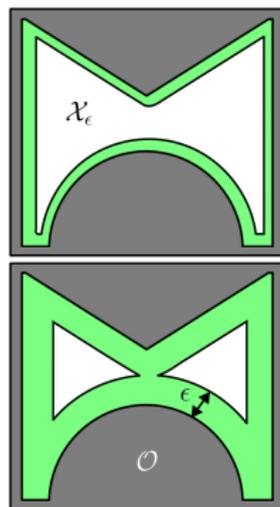
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Problem Formulation

Dynamical System

We consider the first-order robot dynamics

$$\dot{x} = u, \quad u \in \mathbb{R}^n. \quad (6)$$

- The robot is operating in the free space \mathcal{X} and restricted to stay in the **practical free space** \mathcal{X}_ϵ .
- Let x_d be the **goal** position.

Objectives

finding $u = \kappa(x, x_d, \mathcal{X}_\epsilon)$, such that:

- κ is **locally Lipschitz continuous**.
- κ is **sensor-based**.
- **safety** is guaranteed, *i.e.*, x must stay in \mathcal{X}_ϵ .
- the robot's position is **asymptotically stabilized** at x_d .

- For the sake of simplicity, $\kappa(x, x_d, \mathcal{X}_\epsilon)$ will be written as $\kappa(x)$.

Problem Formulation

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Distance-Based Smooth Controller

Feedback Control Design

- To ensure safety, we apply **Nagumo's theorem**, *i.e.*, we need

$$u \in \mathbf{T}_{\mathcal{X}_\epsilon}(x), \quad \forall x \in \mathcal{X}_\epsilon. \quad (7)$$

- To guarantee **convergence**, one must keep u as close as possible to the **nominal controller** κ_0 .
- This consists of solving the *nearest point problem* formulated as follows

$$\min_u \|u - \kappa_0(x)\|^2 \quad \text{subject to } u \in \mathbf{T}_{\mathcal{X}_\epsilon}(x), \forall x \in \mathcal{X}_\epsilon, \quad (8)$$

- The **solution** for u to this **optimization problem** is equivalent to finding the **projection** of the **nominal control** $\kappa_0(x)$ onto the **tangent cone** $\mathbf{T}_{\mathcal{X}_\epsilon}(x)$.

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- When $x \in \text{int}(\mathcal{X}_\epsilon)$:
 - The **tangent cone** $\mathbf{T}_{\mathcal{X}_\epsilon}(x) \equiv \mathbb{R}^n$.
- When $x \in \partial\mathcal{X}_\epsilon$:
 - For $\mathcal{X} \neq \{\emptyset\}$, with a positive reach h , \mathcal{X}_ϵ is a set of class $C^{1,1}$ and the boundary $\partial\mathcal{X}_\epsilon$ is a $C^{1,1}$ -submanifold of dimension $(n-1)$.^a
 - The tangent cone is given by the half-space

$$\mathbf{T}_{\mathcal{X}_\epsilon}(x) = \{z \in \mathbb{R}^n : v(x)^T z \leq 0\}, \quad \forall x \in \partial\mathcal{X}_\epsilon, \quad (9)$$

$v(x)$: is the outward normal unit vector associated to each $x \in \partial\mathcal{X}_\epsilon$.

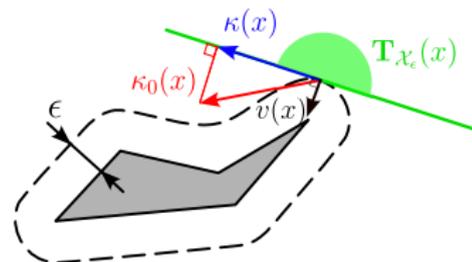


Figure: Tangent cone for smooth boundary sets.

^aMichel C. Delfour and J-P Zolésio. *Shapes and geometries: metrics, analysis, differential calculus, and optimization*. SIAM, 2011.

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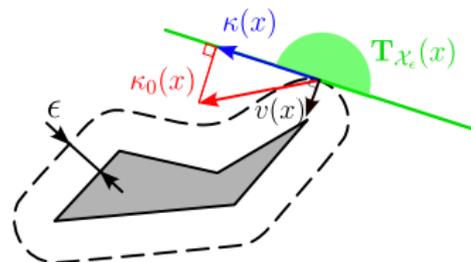


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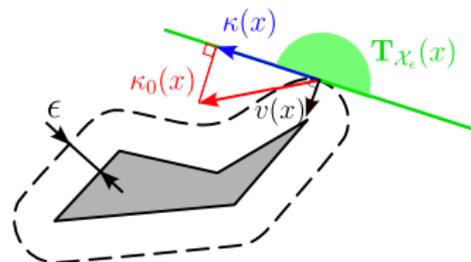


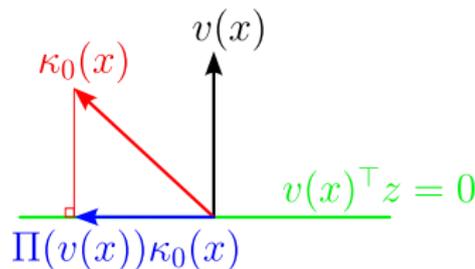
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Distance-Based Smooth Controller

Feedback Control Design

- The **projection** $\mathbf{P}(\kappa_0(x), \mathbf{T}_{\mathcal{X}_\epsilon}(x))$ is **unique**.
- When $v(x)^\top \kappa_0(x) > 0$, the projection reduces to the **orthogonal projection onto the hyperplane** $v(x)^\top z = 0$, which is given by

$$\begin{aligned} \Pi(v(x)) \kappa_0(x) &:= \\ &\left(\mathbf{I}_n - v(x) v(x)^\top \right) \kappa_0(x). \quad (10) \end{aligned}$$

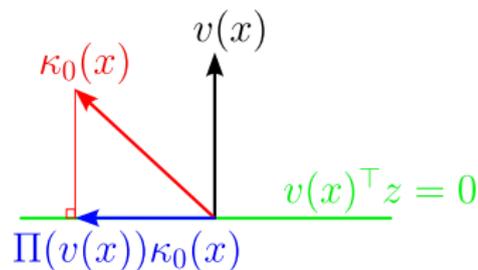


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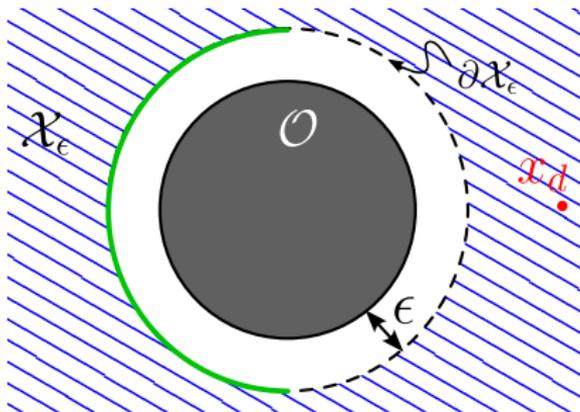


Distance-Based Smooth Controller

Feedback Control Design

The resulting control law that solves the *nearest point problem* is given by

$$u = \kappa(x) = \begin{cases} \kappa_0(x), & x \in \text{int}(\mathcal{X}_\epsilon) \text{ or } v(x)^\top \kappa_0(x) \leq 0, \\ \Pi(x)\kappa_0(0), & x \in \partial\mathcal{X}_\epsilon \text{ and } v(x)^\top \kappa_0(x) \geq 0. \end{cases} \quad (11)$$



Distance-Based Smooth Controller

Feedback Control Design

- Knowing that $v(x) = -\nabla \mathbf{b}_{\mathcal{X}}(x)$, $\forall x \in \partial \mathcal{X}_\epsilon$, we can rewrite the controller in terms of the **distance function**

$$\kappa(x) = \begin{cases} \kappa_0(x), & \mathbf{b}_{\mathcal{X}}(x) > \epsilon \text{ or } \kappa_0(x)^\top \nabla \mathbf{b}_{\mathcal{X}}(x) \geq 0, \\ \Pi(x) \kappa_0(x), & \mathbf{b}_{\mathcal{X}}(x) = \epsilon \text{ and } \kappa_0(x)^\top \nabla \mathbf{b}_{\mathcal{X}}(x) \leq 0, \end{cases} \quad (12)$$

- This controller is a **piecewise continuous vector field** with a discontinuity at the boundary $\partial \mathcal{X}_\epsilon$ of the **practical free space**.

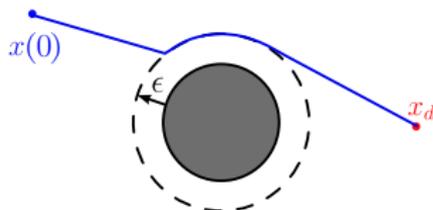


Figure: Resulting trajectory under the piecewise continuous controller.

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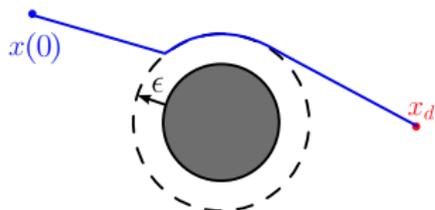


Figure: Resulting trajectory under the piecewise continuous controller.

Distance-Based Smooth Controller

Feedback Control Design

The **smoothed version of the controller** is given by

$$\kappa(x) = \begin{cases} \kappa_0(x), & \mathbf{b}_{\mathcal{C}\mathcal{X}}(x) > \epsilon' \text{ or } \kappa_0(x)^\top \nabla \mathbf{b}_{\mathcal{C}\mathcal{X}}(x) \geq 0, \\ \hat{\Pi}(x) \kappa_0(x), & \mathbf{b}_{\mathcal{C}\mathcal{X}}(x) \leq \epsilon' \text{ and } \kappa_0(x)^\top \nabla \mathbf{b}_{\mathcal{C}\mathcal{X}}(x) \leq 0, \end{cases} \quad (13)$$

where $0 < R < \epsilon < \epsilon' \leq h$ and

$$\hat{\Pi}(x) := \mathbf{I}_n - \phi(x) \nabla \mathbf{b}_{\mathcal{C}\mathcal{X}}(x) \nabla \mathbf{b}_{\mathcal{C}\mathcal{X}}(x)^\top, \quad (14)$$

$$\phi(x) := \min \left(1, \frac{\epsilon' - \mathbf{b}_{\mathcal{C}\mathcal{X}}(x)}{\epsilon' - \epsilon} \right). \quad (15)$$

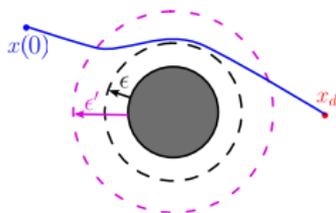


Figure: Resulting trajectory under the smooth controller.

Distance-Based Smooth Controller

Safety Analysis

Lemma 1

We assume the free space \mathcal{X} is a set of class $\mathcal{C}^{2,l}$, where $0 \leq l \leq 1$. Consider the practical free space set \mathcal{X}_ϵ . Then, the smoothed control $\kappa(x)$ is **locally Lipschitz-continuous**.

Theorem 2 (Safety)

Consider the set $\mathcal{X} \subset \mathbb{R}^n$ that describes the free space. Consider the set $\mathcal{X}_\epsilon \in \mathbb{R}^n$ that describes the practical free space. Consider the closed-loop system under the locally Lipschitz-continuous control law $\kappa(\cdot)$. Then,

- the closed-loop system admits a **unique solution** and,
- the set \mathcal{X}_ϵ is **forward invariant**.

■ The forward invariance of \mathcal{X}_ϵ is equivalent to the safety of the robot.

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Distance-Based Smooth Controller

Stability Analysis

We consider the following **nominal controller**

$$\kappa_0(x) = -k(x - x_d), \quad k > 0. \quad (16)$$

Theorem 3 (Stability)

Under the nominal controller $\kappa_0(\cdot)$ given by (16), we have

- the distance $\|x - x_d\|$ is non-increasing,
- the equilibrium point $x = x_d$ is locally exponentially stable, and
- and trajectories converge to the set $\mathcal{E} \cup \{x_d\}$, where

$$\mathcal{E} := \{x : \mathbf{b}_{\mathcal{C}\mathcal{X}}(x) = \epsilon, (x - x_d) = \lambda \nabla \mathbf{b}_{\mathcal{C}\mathcal{X}}(x), \lambda \in \mathbb{R}_{>0}\} \quad (17)$$

is a set of measure zero.

- The nature of the undesired equilibria defined by the set \mathcal{E} is directly related to the **topology of the obstacles**.

Distance-Based Smooth Controller

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$$\kappa_0(x) = -k(x - x_d), \quad k > 0. \quad (16)$$

Theorem 3 (Stability)

Under the nominal controller $\kappa_0(\cdot)$ given by (16), we have

- the distance $\|x - x_d\|$ is non-increasing,
- the equilibrium point $x = x_d$ is locally exponentially stable, and
- and trajectories converge to the set $\mathcal{E} \cup \{x_d\}$, where

$$\mathcal{E} := \{x : \mathbf{b}_{\mathcal{C}\mathcal{X}}(x) = \epsilon, (x - x_d) = \lambda \nabla \mathbf{b}_{\mathcal{C}\mathcal{X}}(x), \lambda \in \mathbb{R}_{>0}\} \quad (17)$$

is a set of measure zero.

- The nature of the undesired equilibria defined by the set \mathcal{E} is directly related to the **topology of the obstacles**.

Convex Sphere Worlds

Topology Of The Obstacle Set

- We suppose all obstacles are **convex**.

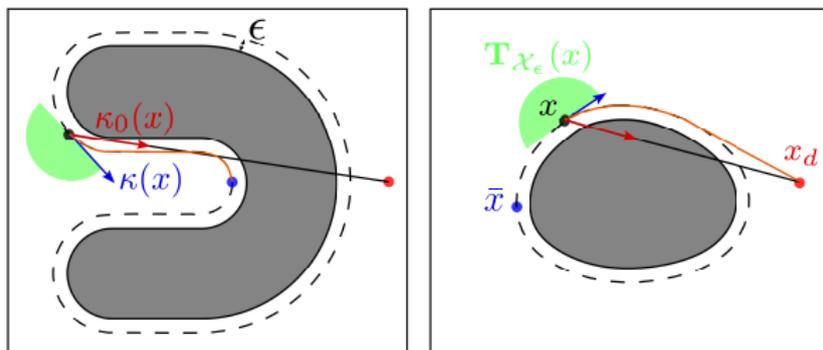


Figure: (Left) shows a non-convex obstacle for which the trajectory of the robot converges to the undesired equilibrium, and (right) shows a convex obstacle for which the trajectory converges to the desired goal x_d .

Convex Sphere Worlds

Topology Of The Obstacle Set - Strong Convexity Condition

Assumption 2 (Strong Convexity)

The Jacobian matrix $\mathbf{J}_{\mathbf{P}_{\partial\mathcal{X}}}(x)$ of the metric projection of any stationary point $x \in \mathcal{E}$ onto the boundary $\partial\mathcal{X}$ of the free-space satisfy

$$\mathbf{J}_{\mathbf{P}_{\partial\mathcal{X}}}(x) \prec \frac{\|x_d - \mathbf{P}_{\partial\mathcal{X}}(x)\|}{\epsilon + \|x_d - \mathbf{P}_{\partial\mathcal{X}}(x)\|} \mathbf{I}_n, \quad \forall x \in \mathcal{X}, \quad (18)$$

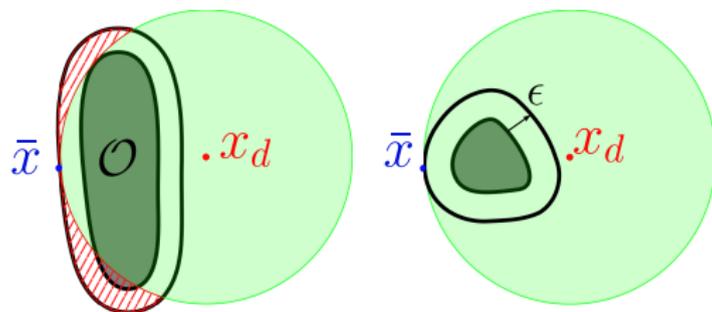


Figure: Visualization of Assumption 3: (left) shows an obstacle that doesn't meet the assumption. (Right) shows an obstacle that satisfies the assumption.

Convex Sphere Worlds

Topology Of The Obstacle Set - Strong Convexity Condition

Assumption 2 (Strong Convexity)

The Jacobian matrix $\mathbf{J}_{\mathbf{P}_{\partial\mathcal{X}}}(x)$ of the metric projection of any stationary point $x \in \mathcal{E}$ onto the boundary $\partial\mathcal{X}$ of the free-space satisfy

$$\mathbf{J}_{\mathbf{P}_{\partial\mathcal{X}}}(x) \prec \frac{\|x_d - \mathbf{P}_{\partial\mathcal{X}}(x)\|}{\epsilon + \|x_d - \mathbf{P}_{\partial\mathcal{X}}(x)\|} \mathbf{I}_n, \quad \forall x \in \mathcal{X}, \quad (18)$$

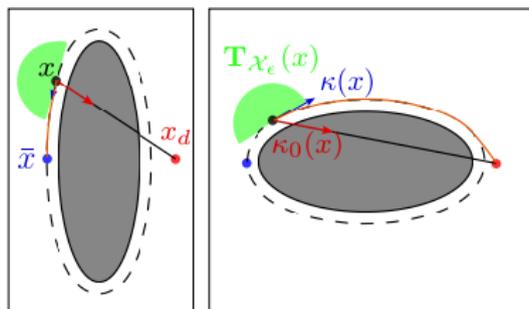


Figure: (Left) shows a flat obstacle, as viewed from the position of the vehicle, for which its trajectory converges to the undesired equilibrium. (Right) shows a strongly convex obstacle where the trajectory converges to the desired goal x_d .

Convex Sphere Worlds

Topology of the Obstacle Set - AGAS

Theorem 3

In addition, if Assumption 3 holds, then

- 1 all the undesired equilibria $\bar{x} \in \mathcal{E}$ are unstable, and
- 2 the desired equilibrium x_d is locally exponentially stable and **almost globally asymptotically stable**.

Numerical Simulation

2D

Numerical Simulation

3D

Conclusion - Future Works

- Smooth feedback control law that guarantees safe navigation.
- Ensure AGAS under some topological conditions.
- Sensor-based and computationally efficient controller.

Future works:

- Relaxing the strong convexity assumption.
- Extending to higher-order dynamics.

Thank You!